



Translation of the pertinent portions of a response by KBA,  
dtd. 04/27/2005

Responsive to the  
REQUEST FOR RESTRICTING THE CLAIMS OR PAYMENT OF  
ADDITIONAL FEES of 04/22/2005

The additional search fee for two additional inventions  
in the amount of 2 x EUR 1,500.00 (= EUR 3,100.00) should be  
paid out of our account No. 2800.0836. Form 1010 attached.

Enclosure:

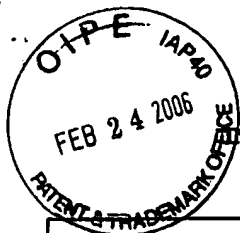
EPA Form 1010



Translation of the pertinent portions of a Notification Regarding Forwarding of the International Search Report and the Written Notification from the International Searching Authority, mailed 06/13/2005

This International Search Report comprises a total of 7 pages. Copies of the cited references are enclosed.

3. Lack of Unity of the Invention (see Field III)
4. Regarding the title of the invention  
the wording filed by Applicant is approved.
5. Regarding the abstract  
the wording filed by Applicant is approved.
6. Fig. 12, as selected by the Office, is to be published with the abstract, since Applicant has not suggested a drawing figure.



**INTERNATIONAL SEARCH REPORT**

International application No.

**PCT/EP2004/051378**

The International Searching Authority has determined that this international application contains multiple (groups of) inventions, namely:

**1. Claims: 1-5, 7-14, 23**

Drive motor can be displaced together with the oscillating roller that is displaceably mounted perpendicularly to the axis of rotation.

**2. Claim: 6**

Coupling means between the drive shaft and the body of the oscillating roller.

**3. Claims: 15-22, 24**

Relationship between the swivel axes of two swivellable rollers that are thrown into gear.



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP2004/051378

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See supplemental sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☒ No protest accompanied the payment of additional search fees.



WRITTEN NOTIFICATION FROM THE INTERNATIONAL SEARCHING  
AUTHORITY

This notification contains information regarding the  
following items:

Field I      Basis of the Report

Field IV     Lack of Unity

Field V      Reasoned Determination under Rule 43bis. 1(a)(i)

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Field I

[no entries in this field]

Field IV    Lack of Unity of the Invention

1. Upon the Request for Payment of Additional Fees,  
Applicant has

paid additional fees.

3. The Office is of the opinion that the requirement  
for unity of the invention in accordance with Rules 13.1,  
13.2 and 13.3

has not been met for the following reasons:

**see the attached sheet**

4. Therefore the Notification has been prepared for  
all parts

of the international application.

Field V Reasoned Determination under Rule 43bis. 1(a)(i)

1. Determination

Novelty	Yes: Claims 1-14,16,18-22,24
	No: Claims 15,17,23

Inventive Activities	Yes: Claims 1-14,16,18-22,24
	No: Claims 15,17,23

Commercial Applicability	Yes: Claims 1 to 24
	No: Claims

2. Documents and Explanations:

**see the attached sheet**



SHEET ATTACHED TO THE WRITTEN NOTIFICATION

**Re.: Item IV**

The International Search Authority has determined that this international application contains several (groups) of inventions, namely:

I. Claims: 1 to 5, 7 to 14, 23

The drive motor can be moved together with the traversing roller, which is seated so it is movable perpendicular to the axis of rotation.

II. Claim: 6

Coupling means between the drive shaft and the body of the traversing roller.

III. Claims 15 to 22, 24

Relation of the pivot shafts of two pivotable rollers in contact with each other.

For the following reasons these inventions/groups are not connected with each other so that they would realize a common inventive idea (Rule 13.1 PCT):

The general idea connecting independent claims 1 and 6 with each other consists of the following characteristics:

"A roller of an inking or dampening system, which is axially movable by a traversing drive mechanism and has a rotary drive mechanism".

The general idea connecting independent claims 1 and 15 with each other consists of the following characteristics:

"Roller of an inking or dampening system which is movable perpendicular to the axis of rotation".

The general idea connecting independent claims 6 and 15 with each other consists of the following characteristics:

"Roller of an inking or dampening system."

However, these common characteristics already ensue from the publication DE-A-44 30 693 (see the reference in the search report).

Therefore there is no technical connection between claims 1, 6 and 15 within the meaning of Rule 13(2) PCT, so that unity as required by Rule 13(1) PCT has not been provided.

**Re.: Item V**

Reference is made to the following documents:

D1:  
D2:  
D3:  
D4:

**Invention I:**

The closest prior art in regard to the subject of claim 1 is represented by document D1. D1 shows (see the reference in the search report): a roller of an inking or dampening system, which can be axially moved by means of a traversing drive mechanism and has an individual drive mechanism embodied as a drive motor for driving it rotatorily.

The subject of claim 1 differs from this in that the roller is seated so that it can be moved in a direction perpendicular to its axis of rotation, and that the drive motor is arranged for movement together with the roller which can be traversed.

Therefore the subject of claim 1 is novel.

A basis for judging inventive activities in connection with claim 1 is lacking in that the attainment of the object of claim 1 of the invention cannot be discerned from the specification.

Applicant will have a chance to address this in the course of Chapter II of PCT.

**Invention II:**

The closest prior art in regard to the subject of claim 6 is represented by document D2. D2 shows (see the reference in the search report): a roller of an inking or dampening system, which can be axially moved by means of a traversing drive mechanism and can be rotated by means of a drive mechanism arranged on the opposite front end.

The subject of claim 6 differs from this in that a coaxial drive shaft of the rotatory drive mechanism is



arranged to be stationary in the axial direction, and coupling means are provided, which assure a transfer of a torque from the drive mechanism via the stationary drive shaft to the roller body, but permits an axial relative movement between the roller body and the drive shaft.

Therefore the subject of claim 1 [sic] is novel.

A basis for judging inventive activities in connection with claim 1 [sic] is lacking in that the attainment of the object of claim 1 [sic] of the invention cannot be discerned from the specification.

Applicant will have a chance to address this in the course of Chapter II of PCT.

### **Invention III**

The present application does not meet the requirements of Article 33(1) PCT, because the subject of claims 15, 17 and 23 is not novel within the meaning of Article 33(2) PCT. Each one of documents D3 and D4 discloses (see the reference in the search report): an inking (D4) or dampening (D3) system with two rollers which work together in the print-on position (D3: 15, 25; D4: 4, 3), characterized in that the two rollers are arranged to be pivotable and that the pivot shaft of the first roller (D3: 25; D4: 3) coincides with the axis of rotation of the second roller (D3: 15; D4: 4). Furthermore, one of the two rollers (D3: 15; D4: 4) is seated in eccentric bushings. Document D3 moreover discloses that the first roller (25) is embodied as a distribution roller of a dampening system.